Tafas v. Dudas et al Doc. 258 Att. 14

Case 1:07-cv-00846-JCC-TRJ Document 258-15 Filed 01/24/2008 Page 1 of 7

# **EXHIBIT 10**

## Bahr, Robert

From:

Morse, Gregory

Sent:

Wednesday, March 21, 2007 5:03 PM

To:

Bahr, Robert





IDs in Cases by Ent Data Response 3

n TC.xls

claims over 5/25.

21 07.doc

Let me know what else you need - I still need to get you issued

#### **Data Request**

1. For fiscal years 2005 and 2006, the number (by entity) ofnonprovisional applications (UPRs, including 371s but not RCEs) (including cons, divs, CIPS?),

continuation applications, continuation-in-part applications, divisional applications, and RCEs.

#### All UPRD

	FY 2005	FY 2006
Nonprovisionals	: (Includes CO	N/CIP/DIV, not RCE)
Small e :		109,838
Non-sma	11: 244,411	260,932
RCE/CPA/R129	) <b>:</b>	
Small e :	11,172	15,347
Non-sma	ll: 44.307	59,496
CONs		
Small e :	8174	8848
Non-sma	II: 22726	23832
CIPs	•	
Small e :	8000	8031
Non-sma	11: 7604	7657
DIVs		
Small e :	4111	4555
Non-sma	ll: 15075	16057

2. For fiscal year 2006, the number of FAOMs issued. (first actions taking cases out of "new case" status, or FAOMs that get a count? First actions take cases out of pending inventory)

First actions (UPRD): 2005 317,395 2006 343,640

3. For fiscal year 2006, the number (by entity) of-

Applications containing more than 5 independent claims or more than 25 total claims (at filing, presumably, or at issue or allowance?)

At filing: 25% of small entities and 24% of non-small entities had more than 5/25. Since not all the serialized filings have claim data entered, a raw number that have this many in the database is misleading (318k/345k had data)

4. The number of applications in the backlog (as some date) and the number of applications in the backlog that contain (??) more than 5/25

As of 2/28/07, 708,321 UPR cases in the backlog. 29% of the non-small entity cases were over 5 or 25, and 30% of the small entity cases were over 5 and 25. Only about 95% have claims data, so misleading to give a raw number. In addition some cases other than the 95% have not yet been processed enough to determine large/small entity or claims.

5. For fiscal years 2000-2006, the number of applications (by entity) filed in each fiscal year in which a terminal disclaimer was filed (skewed results - TD often at end of prosecution - TDs filed with the app or within some time?)

#### Separate file.

6. The pendency (average) to FAOM in FY 2006 overall, in the biotech area, computer area, and business method area.

Pendency is reported to First action rather than FAOM.

UPR:

22.6 months

1600 (biotech):

23.5 months

2100 (computer):

30.8 months

3620 and 3690: business methods: 43.9 months (approximate - weighted average of the two groups, not directly reported. TC-level data are from annual report)

7. The number of examiners hired in fiscal years 2005 and 2006

2005 2006

**UPRD** hires:

978 1218

8. The number of requests for pre-appeal brief conference (since inception), and number of applications in which the prosecutions were reopened after the conference. (decision at conference to reopen, or an action reopening that followed?)

Life of the program, there have been 7,967 conferences conducted as of 3/20/2007. 4,602 conferences resulted in a decision to proceed to the BPAI, and 3,228 conferences resulted in a decision to reopen or allow the application. The remainder identified the request as defective.

9. The number of appeal briefs filed after a pre-appeal brief conference and number of answers issued in those cases; and number of appeal briefs filed (without a pre-appeal brief conference) and number of answers issued in those cases.

Requests for a pre-appeal brief conference must accompany a notice of appeal.

For notices of appeal filed in the first half of fiscal 2006 (12,811), 2,947 were accompanied by a request for a pre-appeal brief conference. These resulted in 1,463 decisions to proceed to the BPAI at conference, 990 appeal briefs and 682 examiner's answers.

Of the 9,864 notices of appeal with no request for pre-appeal brief conference, 5,458 appeal briefs were filed and 2,267 examiner's answers were written.

10. How big will the corps be after hiring 1,200 examiner a year until 2012?

7,751 examiners UPR, 4,773 examiners UPR at the end of FY 06.

## Large Entity

	FY 1999				FY 2000			FY 2001		FY 2002			FY 2003			FY 2004			F	Y 2005		FY 2006			FY 2007			
		Annie with		Berialzed	Apple with		Sorialized	Apple with		Berlakzed	Apple with	·	Serialized	Apple with	Personi	Serialized	Apple with	Percent	Socialized	Apple with	Percent	Berialized Filmes	Apple with 1+ TDs	Percent	Serialized Filmes	Apple with	Percert	
TC	Färgs	1+ 1De	Percent	Fårge	1+ TDs	Percent	Fèrge	1+ TDs		Filings	1+ TDs	5.6%	Filmps 21.722	1+ 1Ds 810	3.7%	Filmps 21,598	1+ TD+ 533	2.5%	Filmes 20,987	1+ 7Ds 311	1.5%	21,946	84	0.4%	10,310	2	0.0%	
1600	17,866	1,591	8.9%	19,582	1,735 2,415	8.9% 7.7%	23,712 35,136	1,645 2,879	6 9% 8.2%	23,626 32,833	1,326 2,974	9.1%	33,214	3,391	10.2%	33,393	2,498	7.5%	33,403	1,153	3.5%	34,656	249	0.7%	14,555	2	0.0%	
1700 2100	29,138 14,515	2,119 984	7.3% 6.8%	31,408 18,673	1.068	5.7%	23,036	1,204	5.2%	21,065	1,119	5.3%	21,291	1,033	4.9%	24,745	860	3.5%	25,841	590	2.3%	25,956	239	0.0%	10,829	6	0.1%	
2600	25,418	1,096	4.3%	28,086	1,432	5.1%	32,059	1,581	4.9%	29,725	1,588	5.3%	29,459	1,269	4.3%	34,698	1,224	3.5%	41,671	1,118 2,327	2.7% 3.9%	45,526 64,824	388 757	0.9%	20,452 29,288	10	0.0%	
2800	40,805	1,631	4.0%	44,795	1,785	4 0%	52,583	2,006	3.8%	50,529	2,119		51,350 21,868	2,412 904	4.7%	54,881 22,167	2,721 691	5.0% 3.1%	60,414 22,627	518	2.3%	23,446	208	0.9%	10,909	ĩ	0.0%	
3500	16,178	715	4.4%	20,208	822	4.1%	26,342 28,594	925 1.718	3.5% 6.0%	21,831	834 1.452	3.8% 5.9%	25,208	1.293	5.1%	26,691	968	3.6%	27,380	525	1.9%	29,681	160	0.5%	14,260	0	0.0%	
3700 18100	20,387 164,305	1,243 9,379	6,1% 0,418 0	23,244 185996	1,365 10620	5.9% 0.413 0	221562	11958	0.386 0	204412	11412		204112	11112	0.371 (	218173	9495	0.287	232323	6542	0.18	0 246235	2085	0.055 0	110603		2E+06	

## Small Entity

	FY 1999				FY 2000			FY 2001			FY 2002			FY 2003			FY 2004			Y 2005	;	F	Y 2006		FY 2007			
				Serietzed	Apple with		Barialized	Apple with		Socialized	Apple with		Beriekzed	Apple with	Percent		Apple with	Percent			Percent		Apple with	Percent	Serialized Filmos	Apple with	Percent	
TC	Filmps	1+ TDs	Persont	Filinge	1+ TDs	Parent	Filmps	1+ TDe	Percent	Fängs	1+ TDe		Filinge	1+ TDe		Filings 12.130	1+ TDa 293	2.4%	Filmps 12,299	1+ TDe	1.0%	Filmps 12,239	1+ TDs 24	0.2%	4,099	1	0.0%	
1600	7,807	738	9.5%	9,150	803	8.8%	9,632	705	7.3% 6.7%	12,383 9,877	655 651	5.3% 6.6%	12,352 10.525	397 730	3.2% 6.9%	10,712	549	5.1%	11,071	323	2.9%	10,643	51	0.5%	3,705	0	0.0%	
1700	8,843	540	6 1%	8,988	526 205	5.9% 4.2%	8,035 4,606	538 151	3.3%	5,269	239	4.5%	4,780	155	3.2%	5.136	121	2.4%	5,380	68	1.3%	5,682	27	0.5%	2,183	0	0.0%	
2100 2600	2,726 4.625	156 251	5.7% 5.4%	4,890 5,955	320	5.4%	5,333	252	4.7%	6.800	306	4.5%	6,793	233	3.4%	7,389	229	3.1%	6,653	139	1.6%	9,162	69	0.8%	3,684	1	D.0%	
2800	8,457	344	4.1%	9.878	374	3.8%	9,309	380	4.1%	12,223	534	4.4%	12,665	575	4.5%	13,401	619	4.6%	14,168	490	3.5%	14,983	172	1.1%	5,613	1	0.0%	
3600	15.314	535	3.5%	18,673	615	3.3%	15,076	556	3.7%	18,025	548	3.0%	18,666	525	2.8%	19,363	520	2.7%	20,249	366	1.8%	19,537	145	0.7%	6,823 9,058	3	0.0% 0.0%	
3700	19,728	917	4.6%	20,232	980	4.8%	16,725	888	5.3%	21,743	1,019	4.7%	23,007	957	4.2%	24,175	672	2.8%	25,038	344	1.4% 0.135 (	24,908	106 594	0.4% 0.042 D	35165		0.001	
				77704	****	A 261 A	RR71A	3468	0.351 0	88320	3952	0.33 (	88788	3572	0.283 0	92288	3003	0.231 (	96856	1857	V. 135 (	e/134	394	0.042 0	30 100	•	0.00	

### ALL Entity

FY 1999			E	FY 2000			FY 2001			FY 2002			FY 2003			FY 2004			FY 2005			Y 2006	,	FY 2007			
τc	Berlekzed		Percent	Serietzed Färes	Apple with	Persont	Sorialized Fäines	Apple with	Percent	Sprinkzed Filings	Apple with	Percent	Serialized Filings	Apple with 1+ TDs	Personi	Serialized Filinge	Apple with 1+ TDs	Persont	Berinkzed Fäings	Apple with 1+ TDs	Percent	Berialized Füngs	Apple with 1+ TDe	Parcent	Flings	Apple with	Percent
1600	Filmer 25,673	2.329	9.1%	28,732	2,538	8.8%	33,344	2,350	7.0%	38,009	1,981	5.5%	34,074	1,207	3.5%	33,728	826	2.4%	33,286	438	1.3%	34,185	108	0.3%	14,409	3	0.0%
1700	37.981	2,529	7.0%	40,396	2,941	7.3%	43,171	3.417	7.9%	42,710	3,625	8.5%	43,739	4,121	9.4%	44,105	3,047	6 9%	44,474	1,476	3.3%	45,299	300	0.7%	18,260	2	0.0%
		1,140	6.5%	23.563	1.271	5 4%	27.642	1,355	4.9%	26.335	1,358	5.2%	26,071	1,188	4.6%	29,881	981	3.3%	31,221	658	2.1%	31,638	266	0.8%	13,012	6	0.0%
2100	17,241			34.041	1.752	5.1%	37.392	1.833	4.9%	36.525	1.894	5.2%	36,252	1,502	4.1%	42,067	1,453	3.5%	50,324	1,257	2.5%	54,688	457	0.8%	24,136	7	0.0%
2600	30,044	1,347	4.5%		2 159	3.9%	61,892	2.386	3.9%	62.752	2.653	4.2%	64.015	2.987	4.7%	68.282	3,340	4.9%	74,580	2,817	3.8%	79,807	929	1 2%	34,901	11	0 0%
2800	49,262	1.975	4.0%	54,671		3.7%	41,418	1.481	3.6%	39.856	1,382	3.5%	40,534	1,429	3 5%	41,530	1,211	2.9%	42,876	884	2 1%	42,983	353	0.8%	17,732	4	0.0%
3600	31,492	1,250	4.0%	38,881	1,437			.,	5.7%	46.545	2,471	5.3%	48.215	2,250	4.7%	50,868	1.640	3 2%	52,418	889	1.7%	54,789	266	0.5%	23,318	0	0.0%
3700	40,115	2,160	5.4%	43,476	2,345	5.4%	45,419	2,604		290732			0 292900	14684	0.345 0	310459	12498	0.271	320179	6399	0 167 0	343389	2679	0.051 0	145768	33	0.002
				202760		A 397 A	200278	15426	D 379 O																		

#### Bahr, Robert

From:

Morse, Gregory

Sent:

Thursday, March 22, 2007 12:34 PM

To:

Bahr, Robert

Subject:

Re:

Before you say that; let me check how many are in the early stages of processing. Ninety pct of cases that get through OIPE have claim data.

---- Original Message -----

From: Bahr, Robert To: Morse, Gregory

Sent: Thu Mar 22 12:31:09 2007

Subject: RE:

WRT--

4. The number of applications in the backlog (as some date) and the number of applications in the backlog that contain (??) more than 5/25

As of 2/28/07, 708,321 UPR cases in the backlog. 29% of the non-small entity cases were over 5 or 25, and 30% of the small entity cases were over 5 and 25. Only about 95% have claims data, so misleading to give a raw number. In addition some cases other than the 95% have not yet been processed enough to determine large/small entity or claims.

Any objection to me saying--

Of the applications currently awaiting examination for which claims data is available in PALM (which is over ninety percent of such applications), about thirty percent contain more than five independent claims or more than twenty-five total claims.

----Original Message----

From: Morse, Gregory

Sent: Wednesday, March 21, 2007 5:03 PM

To: Bahr, Robert

Subject:

Let me know what else you need - I still need to get you issued claims over 5/25.